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November 21, 2001

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: U.S. Patent Application
Serial No.: 09/938,292
Filed: August 24, 2001
For: Reversible Child Resistant Closure
Inventors: David Miceli, et al.
Attorney Docket No.: 0152-0019

Sir:

The following documents are forwarded herewith for appropriate action by the
U.S. Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application;
2. Copy of Notice to File Missing Parts of Nonprovisional Application;
3. Executed Combined Declaration and Power of Attorney for Patent Application;
4. Three (3) Substitute Drawings;
5. Preliminary Amendment;
6. Appendix A: Marked-up Version to Show Amendments made to Abstract;
7. Check in the amount of \$840.00 (\$710.00-filing fee; \$130.00-surcharge); and
10. One (1) return postcard

It is respectfully requested that the attached postcard be stamped with the date of
filing of these documents, and that it be returned to our courier.

Respectfully submitted,

SHANKS & HERBERT


Shelly Guest Cermak
Reg. No. 39,571

SGC/CEA/bdc
Encls.

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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,292	08/24/2001	David Miceli	0152-0019P

CONFIRMATION NO. 1029

FORMALITIES LETTER



OC00000006799081

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Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/23/2001 NROCHA: 00000007 00000000 00000000

FILED UNDER 37 CFR 1.53(b)

01 FC:110 740.00 3P
 02 FC:110 10.00 00 100.00 3P

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]